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Resilient nations.

REQUEST FOR PROPOSAL (RFP)

International Open Bidding	DATE: November 5, 2013
	REFERENCE: RFP/UNDP/INDIA/2013/056

Dear Sir / Madam:

We kindly request you to submit your Proposal for **“Study on Environmental Sustainability and Human Development”**.

Please be guided by the form attached hereto as Annex 2 & 3, in preparing your Proposal.

Your proposal comprising of technical proposal and financial proposal, in separate sealed envelopes, should reach on or before **November 20, 2013, 1730 hrs. (IST)** at the address below:

United Nations Development Programme
55, Lodi Estate, New Delhi – 110 003
Mr. Vineet Mathur
Fax: 91-11-24627612

If the technical and financial proposals are not submitted in separate sealed envelopes, proposal will be rejected.

Please also provide technical proposal and its annexures (scanned copy in **ONE PDF FILE**) in a CD/DVD; and can be kept in the envelope containing hard copy technical proposal. PLEASE NOTE THAT CD/DVD should not contain Financial Proposal.

Your Proposal must be expressed in English language and valid for a minimum period of One Hundred and Twenty (120) days.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria, and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

<http://www.undp.org/content/undp/en/home/operations/procurement/protestandsanctions>

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link: http://www.un.org/depts/ptd/pdf/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Description of Requirements

Context of the Requirement	Study on Environmental Sustainability and Human Development
Implementing Partner of UNDP	Direct implementation by UNDP
Brief Description of the Required Services ¹	<p>UNDP invites proposals from competent agencies to undertake a study to assess the potential impact of environmental degradation and climate change on human development, and to promote integration of environment sustainability in the planning process in 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha) so as to progress on faster, more sustainable and inclusive growth.</p> <p>With poverty and inequalities set to intensify further with environmental degradation and climate change, it appears necessary to understand human development from the perspective of environmental sustainability and the potential impediments that climate change and environmental degradation pose to progress in terms of human development indicators. It is with this reasoning that a study is proposed under the HDBI project, to (a) study environmental degradation and climate change in the context of human development in India and (b) suggest options for integrating environmental concerns into state and national level planning.</p> <p>This study will contribute to the on-going project that UNDP is implementing jointly with the Planning Commission, Government of India on “Human Development towards Bridging the Inequalities” wherein the focus is to examine the status of human development from multiple perspectives in order to identify challenges to progress and identify viable solutions.</p> <p>The study will also contribute to UNDP’s partnership with the Ministry of Environment and Forests, Government of India, aimed at supporting climate change planning and implementation efforts at the national and the sub-national levels.</p>
List and Description of Expected Outputs to be Delivered	<p><u>Expected Outputs</u></p> <ul style="list-style-type: none"> • Report on linkages between human development & environment sustainability at the national and state level • Analytical report on extent to which the environmental concerns are integrated into planning for human development at the national & state levels • State plans integrating Climate change concerns to be prepared for 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha). • Stakeholders consultation in all 4 States during the course of the assignment

¹ A detailed TOR may be attached if the information listed in this Annex is not sufficient to fully describe the nature of the work and other details of the requirements.

	<ul style="list-style-type: none"> • A guidance note with lessons learnt and recommendations for replication
Person to Supervise the Work/Performance of the Service Provider	Assistant Country Director/ Head and Programme Analyst, Governance Unit, UNDP, Project Manager, HDBI Project; and Advisor (Climate Change), Energy and Environment Unit, UNDP
Frequency of Reporting	Monthly, mid-term and completion report
Progress Reporting Requirements	To be determined as per milestone
Location of work	<input checked="" type="checkbox"/> Exact Address/es National and state level <input checked="" type="checkbox"/> At Contractor's Location
Expected duration of work	10 months
Target start date	December 2013
Latest completion date	September 2014
Travels Expected	As per the methodology proposed to carry out study in 4 states viz. Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha
Special Security Requirements	<input type="checkbox"/> Security Clearance from UN prior to travelling <input type="checkbox"/> Completion of UN's Basic and Advanced Security Training <input type="checkbox"/> Comprehensive Travel Insurance <input checked="" type="checkbox"/> Others [Not Applicable]
Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal)	<input type="checkbox"/> Office space and facilities <input type="checkbox"/> Land Transportation <input checked="" type="checkbox"/> Others [Not Applicable]
Implementation Schedule indicating breakdown and timing of activities/sub-activities	<input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required
Names and curriculum vitae of individuals who will be involved in completing the services	<input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required
Currency of Proposal	<input type="checkbox"/> United States Dollars <input type="checkbox"/> Euro <input checked="" type="checkbox"/> Indian Rupees (INR)
Value Added Tax on Price Proposal ²	<input type="checkbox"/> must be inclusive of VAT and other applicable indirect taxes <input checked="" type="checkbox"/> must be exclusive of VAT and other applicable indirect taxes
Validity Period of Proposals (Counting for the last day of	<input type="checkbox"/> 60 days <input type="checkbox"/> 90 days

² VAT exemption status varies from one country to another. Pls. check whatever is applicable to the UNDP CO/BU requiring the service.

submission of quotes)	<input checked="" type="checkbox"/> 120 days In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.																				
Partial Quotes	<input checked="" type="checkbox"/> Not permitted <input type="checkbox"/> Permitted																				
Payment Terms ³	<table border="1"> <thead> <tr> <th data-bbox="563 555 874 656">Outputs</th> <th data-bbox="874 555 1034 656">Percentage</th> <th data-bbox="1034 555 1257 656">Timing</th> <th data-bbox="1257 555 1452 656">Condition for Payment Release</th> </tr> </thead> <tbody> <tr> <td data-bbox="563 656 874 824">Concept note on the study agreed with UNDP</td> <td data-bbox="874 656 1034 824">20%</td> <td data-bbox="1034 656 1257 824">Within 2 weeks from the date of issuance of contract</td> <td data-bbox="1257 656 1452 1541" rowspan="5"> Within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider. </td> </tr> <tr> <td data-bbox="563 824 874 992">Primary and secondary data collection</td> <td data-bbox="874 824 1034 992">20%</td> <td data-bbox="1034 824 1257 992">Within 6 Week from the date of issuance of contract</td> </tr> <tr> <td data-bbox="563 992 874 1160">Submission of preliminary findings</td> <td data-bbox="874 992 1034 1160">15%</td> <td data-bbox="1034 992 1257 1160">Within 3 months from the date of issuance of contract</td> </tr> <tr> <td data-bbox="563 1160 874 1328">Submission of the draft report as mentioned in deliverable section of ToR</td> <td data-bbox="874 1160 1034 1328">20%</td> <td data-bbox="1034 1160 1257 1328">Within 8 months from the date of issuance of contract</td> </tr> <tr> <td data-bbox="563 1328 874 1541">Submission of Final Report and Executive Summary in a printable format covering elements given in the scope of work section</td> <td data-bbox="874 1328 1034 1541">25%</td> <td data-bbox="1034 1328 1257 1541">Within 10 months from the date of issuance of contract</td> </tr> </tbody> </table>	Outputs	Percentage	Timing	Condition for Payment Release	Concept note on the study agreed with UNDP	20%	Within 2 weeks from the date of issuance of contract	Within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider.	Primary and secondary data collection	20%	Within 6 Week from the date of issuance of contract	Submission of preliminary findings	15%	Within 3 months from the date of issuance of contract	Submission of the draft report as mentioned in deliverable section of ToR	20%	Within 8 months from the date of issuance of contract	Submission of Final Report and Executive Summary in a printable format covering elements given in the scope of work section	25%	Within 10 months from the date of issuance of contract
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Person(s) to review/inspect/ approve outputs/completed services and authorize the disbursement of payment	Programme Analyst, Governance Unit, UNDP and Project Manager, HDBI Project and Advisor (Climate Change), Energy and Environment Unit. Overall guidance of Joint Secretary (State Plans) National Project Director, Planning Commission.																				
Type of Contract to be Signed	<input type="checkbox"/> Purchase Order <input type="checkbox"/> Institutional Contract <input checked="" type="checkbox"/> Contract for Professional Services																				
Criteria for Contract Award	<input checked="" type="checkbox"/> Highest Combined Score (based on the 70% technical offer and 30%																				

³ UNDP preference is not to pay any amount in advance upon signing of contract. If the Service Provider strictly requires payment in advance, it will be limited only up to 20% of the total price quoted. For any higher percentage, or any amount advanced exceeding \$30,000, UNDP shall require the Service Provider to submit a bank guarantee or bank cheque payable to UNDP, in the same amount as the payment advanced by UNDP to the Service Provider.

	<p>price weight distribution)</p> <p><input checked="" type="checkbox"/> Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criteria and cannot be deleted regardless of the nature of services required. Non acceptance of the GTC may be grounds for the rejection of the Proposal.</p>
Criteria for the Assessment of Proposal	<p><u>Technical Proposal (70%)</u></p> <p><input checked="" type="checkbox"/> Expertise of the Firm/s [20%]</p> <p><input checked="" type="checkbox"/> Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan [30%]</p> <p><input checked="" type="checkbox"/> Management Structure and Qualification of Key Personnel [20%]</p> <p><u>Financial Proposal (30%)</u></p> <p>To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.</p>
UNDP will award the contract to:	<p><input checked="" type="checkbox"/> One and only one Service Provider</p> <p><input type="checkbox"/> One or more Service Provider</p>
Annexes to this RFP ⁴	<p><input checked="" type="checkbox"/> Form for Submission of Proposal (Annex 2)</p> <p><input checked="" type="checkbox"/> Form for Submission of Financial Proposal (Annex 3)</p> <p><input checked="" type="checkbox"/> General Terms and Conditions / Special Conditions (Annex 4)⁵</p> <p><input checked="" type="checkbox"/> Detailed TOR (Annex 5)</p>
Contact Person for Inquiries (Written inquiries only) ⁶	<p>Surjit Singh Procurement Unit surjit.singh@undp.org</p> <p>Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.</p>
Other Information	<p>Outer envelope should bear RFP reference number and sender's name and address</p> <p>Proposals are invited from the institutions/organizations only. Proposal submitted by Individuals will not be accepted.</p>

⁴ Where the information is available in the web, a URL for the information may simply be provided.

⁵ Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process.

⁶ This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received.

**FORM FOR SUBMITTING SERVICE PROVIDER'S
TECHNICAL PROPOSAL⁷**

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery⁸)

[insert: Location].

[insert: Date]

To: [insert: Name and Address of UNDP focal point]

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date] , and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions :

A. Qualifications of the Service Provider

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following :

- a) Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;*
- b) Business Licenses – Registration Papers, Tax Payment Certification, etc.*
- c) Latest Audited Financial Statement – income statement and balance sheet to indicate Its financial stability, liquidity, credit standing, and market reputation, etc. ;*
- d) Track Record – list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contract references;*
- e) Certificates and Accreditation – including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.*
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.*

B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

⁷ This serves as a guide to the Service Provider in preparing the Proposal.

⁸ Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

C. Qualifications of Key Personnel

If required by the RFP, the Service Provider must provide :

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;*
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and*
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.*

*[Name and Signature of the Service Provider's
Authorized Person]*

[Designation]

[Date]

FORM FOR SUBMITTING SERVICE PROVIDER'S FINANCIAL PROPOSAL

A. Cost Breakdown per Deliverable*

	Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price (Weight for payment)	Price (Lump Sum, All Inclusive)
1	Report on linkages between human development & environment sustainability at the national and state level		
2	Analytical report on extent to which the environmental concerns are integrated into planning for human development at the national & state levels		
3	State plans integrating Climate change concerns to be prepared for 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha).		
4	Stakeholders consultation in all 4 States during the course of the assignment		
5	A guidance note with lessons learnt and recommendations for replication		
	Total	100%	INR.....

**This shall be the basis of the payment tranches*

B. Cost Breakdown by Cost Component * *[This is only an Example]:*

Description of Activity	Remuneration per Unit of Time	Total Period of Engagement	No. of Personnel	Total Rate
I. Personnel Services **				
1. Services				
a. Expertise 1				
b. Expertise 2				
2. Services				
a. Expertise 1				
b. Expertise 2				
3. Services for Stake Holder Consultation in all 4 States				
a. Expertise 1				
b. Expertise 2				
II. Out of Pocket Expenses				
1. Travel Costs				
2. Any other costs				

*[Name and Signature of the Service Provider's
Authorized Person]*

[Designation]

[Date]

General Terms and Conditions for Services

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- 8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- 8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- 8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- 8.4.1** Name UNDP as additional insured;
 - 8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
 - 8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- 8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT:

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.1** Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- 11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such

intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

11.3 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.

11.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

13.1 The recipient ("Recipient") of such information shall:

13.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

13.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.

13.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

13.2.1 any other party with the Discloser's prior written consent; and,

13.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

13.2.2.1 a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,

13.2.2.2 any entity over which the Party exercises effective managerial control; or,

13.2.2.3 for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.

13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

- 13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1** In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- 14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4** The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

- 15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- 15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract

forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

16.1 Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.

18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from

performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

**Terms of Reference (TOR)
for
“Study on Environmental Sustainability and Human Development”**

Objectives

To undertake a study to assess the potential impact of environmental degradation and climate change on human development, and to institute integration of environment sustainability in the planning process in 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha) so as to progress on faster, more sustainable and inclusive growth.

Background and Rationale:

Environmental degradation and climate change are intrinsically linked to human development. The recent UNDP Asia Pacific Regional Human Development Report on ‘Sustaining Human Progress in a Changing Climate’ provides factual evidence to demonstrate that ‘climate change threatens further progress in poverty reduction and it could also render already hard-won human development gains unsustainable⁹

Climate change is expected to increase human vulnerability as a result of a potential increase in the frequency and severity of weather events, long-term environmental degradation, and other effects of climate change. Climate variability and extreme events obstruct development, affect natural resources, damage agricultural productivity, cause water shortages, and threaten the health of millions in the developing world. Climate change thus increases not only costs of development, but also levels of poverty and inequity across the world.

An expanding human population, economic growth, urbanization and changing lifestyles are leading to a dramatically escalating demand on the environment in terms of natural resource consumption globally. Drastic environmental changes experienced in the form of resource depletion, high carbon emissions, rising temperatures, erratic and intense precipitation, rising mean sea levels, natural disasters, desertification etc. have made it evident that current resource use patterns are unsustainable. Unless corrective steps are taken immediately to adopt cleaner sources of energy, efficient technologies and environment-friendly practices with a view to mitigating stress on the environment, these problems pose a grave hazard to the quality of human life.

The Government of India has responded to the need to address the issues of environmental degradation and climate change by developing a National Action Plan on Climate Change that includes¹⁰ missions covering various dimensions based on adaptation and mitigation to climate change. States are also developing or have developed their own State Action Plans on Climate Change, which outlines state’ strategies to combat climate change. While a number of studies on low carbon climate resilient growth have been undertaken by various organizations, and several initiatives in different sectors are already underway or are being planned the Planning Commission, Government of India has set up an ‘Expert Group on Low Carbon Strategies for Inclusive Growth’, which developed inter-sectoral roadmap for India for low carbon growth to give the overall goal of low carbon development a concrete shape. .

⁹ UNDP Asia-Pacific Regional Centre, 2011. ‘One Planet to Share: Sustaining human progress in a changing climate’, **Asia-Pacific Human Development Report. Routledge: New Delhi.**

¹⁰ UNDP Asia-Pacific Regional Centre, 2011. ‘One Planet to Share: Sustaining human progress in a changing climate’, *Asia-Pacific Human Development Report. Routledge: New Delhi.*

For a developing country like India economic growth will remain a priority for next one or two decades, but the need is to combine efforts towards increasing average incomes along with ensuring sustainable natural resource use. The increasing requirements of an expanding population need to be reconciled with development planning through more judicious natural resource management and environmental conservation.

The Human Development: Towards Bridging Inequalities (HDBI) project of the Planning Commission and UNDP is focused on study the status of human development from multiple perspectives in order to identify challenges to progress and identify viable solutions. With poverty and inequalities set to intensify further with environmental degradation and climate change, it appears necessary to understand human development from the perspective of environmental sustainability and the potential impacts that climate change and environmental degradation may have on human development.

It is with this reasoning that a study is proposed under the HDBI project, to (a) study environmental degradation, climate change in the context of human development in India and (b) suggest options for integrating environmental concerns into state and national level planning.

Scope of work

The proposed study will be undertaken at the national & state level to:

1. Analyze and document linkages between human development and environmental sustainability by examining dominant environmental concerns in the Indian context and the manner and extent to which they impact human development indicators and exacerbate inequalities.
2. Analyze the detrimental effects of environmental degradation on the poorer and vulnerable sections taking into account the extreme weather patterns, climate change and environmental changes.
3. Examine the extent to which the environmental concerns are integrated into planning for human development at the national (in recent five year plan) & state levels (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha).
4. In 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha) integrate climate change considerations into the existing development plans and document recommendations for replication by other states.

The organization will submit an inception paper to the Planning Commission and UNDP within two weeks of issuance of the contract. The organization is expected to organize stakeholder consultation in each state during the course of the assignment.

Deliverables

1. Concept note on the study agreed with UNDP
2. Reports
 - (a) Report on linkages between human development & environment sustainability at the national and state level.
 - (b) Analytical report on extent to which the environmental concerns are integrated into planning for human development at the national & state levels
 - (c) State plans integrating Climate change concerns to be prepared for 4 states (Himachal Pradesh, Karnataka, Madhya Pradesh and Odisha).
 - (d) A guidance note with lessons learnt and recommendations for replication.
3. Stakeholders consultation in each state during the course of the assignment

Reporting

The assignment will be supervised by UNDP and the State Plans Division of the Planning Commission.

Basic Eligibility Criteria

The agency submitting the proposal must have the following demonstrated capacities to be considered for technical evaluation –

- (a) Familiarity on issues of sustainable development at National and State Level;
- (b) Hands on experience on working with the Government on Environment/Social issues;
- (c) Hands on experience working on the planning processes at the national and state level.
- (d) Demonstrated ability to produce high quality research/policy papers
- (e) Dissemination and advocacy on the use of knowledge products thus generated with government and civil society.

Duration: 10 months from the award of the contract